

DUPLICATE



ANNUAL REPORT OF REPRESENTED ENTITY

FORM L1-L Reporting For Calendar Year 2009

ELEC RECEIVED FEB 17 2010

NEW JERSEY ELECTION LAW ENFORCEMENT COMMISSION P.O. Box 185, Trenton, NJ 08625-0185 (609) 292-8700 or Toll Free Within NJ 1-888-313-ELEC (3532) Website: www.elec.state.nj.us

FOR STATE USE ONLY

Name of Represented Entity The Prudential Insurance Company of America

Business Address 751 Broad Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number

1. Provide the following information regarding the Governmental Affairs Agent(s) employed by the Represented Entity named above.

1. Name John Cafiero

Registration Number 1629-9 Job Title Vice President, Corporate Counsel

Business Address 751 Broad Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number

2. Name Robert Axel

Registration Number 1628-7 Job Title Vice President, Financial Management

Business Address 751 Broad Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number

3. Name John M. Ewing Jr.

Registration Number 1626-1 Job Title Vice President, Corporate Counsel

Business Address 200 Wood Avenue South

City Iselin State NJ Zip Code 08830

*(Area Code) Telephone Number

4. Name Sarah Hamid

Registration Number 1628-6 Job Title Vice President & Actuary

Business Address 213 Washington Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number

1. Provide the following information regarding the Governmental Affairs Agent(s) employed by the Represented Entity named above.

1. Name Cathleen Paugh

Registration Number 1629-7 Job Title Investment Vice President

Business Address 751 Broad Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number _____

2. Name Curtis G. Jenkins

Registration Number 1627-1 Job Title Group Insurance Account Manager

Business Address 290 West Mount Pleasant Avenue

City Livingston State NJ Zip Code 07039

*(Area Code) Telephone Number _____

3. Name Robert A. Luciani

Registration Number 1630-1 Job Title Vice President, Client Management

Business Address _____

City 30 Scranton Office Park State PA Zip Code 18507-1789

*(Area Code) Telephone Number _____

4. Name Nina Matias

Registration Number 1629-6 Job Title NAO Account Manager

Business Address 290 West Mount Pleasant Avenue

City Livingston State NJ Zip Code 07039

*(Area Code) Telephone Number _____

1. Provide the following information regarding the Governmental Affairs Agent(s) employed by the Represented Entity named above.

1. Name Robert F. Montellione

Registration Number 1628-3 Job Title Vice President, Tax

Business Address 213 Washinton Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number _____

2. Name Gabriella Morris

Registration Number 1629-2 Job Title Vice President, Community Resources

Business Address 751 Broad Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number _____

3. Name Dennis M. Murray

Registration Number 1627-2 Job Title Group Insurance Vice President, National Accounts

Business Address 80 Livingston Ave

City Roseland State NJ Zip Code 07608

*(Area Code) Telephone Number _____

4. Name Mary P. O'Malley

Registration Number 1629-3 Job Title Vice President, Local Initiatives

Business Address 751 Broad Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number _____

1. Provide the following information regarding the Governmental Affairs Agent(s) employed by the Represented Entity named above.

1. Name Marc Pester

Registration Number 1626-3 Job Title Vice President, Retirement Income Sales

Business Address 200 Wood Avenue South

City Iselin State NJ Zip Code 08830

*(Area Code) Telephone Number _____

2. Name John Bauer

Registration Number 1628-5 Job Title Director, Financial Reporting

Business Address 213 Washington Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number _____

3. Name John Steiniger

Registration Number 1628-4 Job Title Vice President, Business Development

Business Address 213 Washington Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number _____

4. Name Elaine Tweedus

Registration Number 1629-5 Job Title Director, Contracts

Business Address 751 Broad Street

City Newark State NJ Zip Code 07102

*(Area Code) Telephone Number _____

1. Provide the following information regarding the Governmental Affairs Agent(s) employed by the Represented Entity named above.

1. Name August A. Urgola
Registration Number 1626-4 Job Title Consultant
Business Address 200 Wood Avenue South
City Iselin State NJ Zip Code 07102
*(Area Code) Telephone Number _____

2. Name _____
Registration Number _____ Job Title _____
Business Address _____
City _____ State _____ Zip Code _____
*(Area Code) Telephone Number _____

3. Name _____
Registration Number _____ Job Title _____
Business Address _____
City _____ State _____ Zip Code _____
*(Area Code) Telephone Number _____

4. Name _____
Registration Number _____ Job Title _____
Business Address _____
City _____ State _____ Zip Code _____
*(Area Code) Telephone Number _____

2. Provide the following information regarding the Governmental Affairs Agent(s) retained or otherwise engaged by the Represented Entity.

1. Name of Agent or Firm N/A

Business Address _____

City _____ State _____ Zip Code _____

*(Area Code) Telephone Number _____ Occupation/Business _____

2. Name of Agent or Firm _____

Business Address _____

City _____ State _____ Zip Code _____

*(Area Code) Telephone Number _____ Occupation/Business _____

SCHEDULE A

1. Did any Governmental Affairs Agent named on page 1, question 1, serve as a member of:

- any independent State authority;
- any county improvement authority;
- any municipal utilities authority;
- any inter-State or bi-State authority as a member from New Jersey; or,
- any board or commission established by statute or resolution, or by executive order of the Governor, or by the Legislature, or by any Agency, Department or other instrumentality of the State?

No If "no," continue on to the next question. Yes If "yes," please provide the following information:

Name of Governmental Affairs Agent Gabriella E. Morris

Name of Authority, Board, or Commission Public Broadcasting Authority Board

Date When Term of Service Expires Ongoing

Name of Governmental Affairs Agent Gabriella E. Morris

Name of Authority, Board, or Commission Newark Superintendent of Schools Search Committee

Date When Term of Service Expires Ongoing

Name of Governmental Affairs Agent _____

Name of Authority, Board, or Commission _____

Date When Term of Service Expires _____

Name of Governmental Affairs Agent _____

Name of Authority, Board, or Commission _____

Date When Term of Service Expires _____

2. Did the Governmental Affairs Agent(s) named on page 1, question 1 file all Notices of Representation and Quarterly Reports required during the calendar year covered by this Annual Report?

Yes If "yes," continue on to Schedule B. No If "no," please file the necessary reports immediately.

*Leave this field blank if your telephone number is unlisted. Pursuant to N.J.S.A. 47:1A-1.1, an unlisted telephone number is not a public record and must not be provided on this form.

SCHEDULE B - SALARY & COMPENSATION

PURPOSE: To report the salary and compensation paid by the Represented Entity to its Governmental Affairs Agent(s). Include the reimbursement of an Agent's expenses in amounts reported.

1. For the Governmental Affairs Agents who are employees of the Represented Entity named on page 1, question 1, please report the salary and other compensation paid. **NOTE:** Only the pro rata share of each employee's salary and compensation need be included if the employee spends only a portion of his/her time lobbying.

\$ 50,664.48

2. For the Governmental Affairs Agents named on page 2, question 2, who are retained or otherwise engaged by the Represented Entity, please provide the following information:

NAME OF PAYEE	LOBBYING PURPOSE	COMPENSATION
1. N/A		\$ 0.00
2.		
3.		
4.		
5.		
6.		
7.		
		Total \$ 0.00
		SCHEDULE B TOTAL \$ 0.00

SCHEDULE C - SUPPORT PERSONNEL

PURPOSE: To report the costs of support personnel who, over the course of the reporting year, individually spend 450 or more hours supporting the activities of the Represented Entity or Governmental Affairs Agent(s).

After determining to which person(s) this applies, report the pro rata share of those costs which are attributable to supporting the activities of the Represented Entity or Governmental Affairs Agent(s) in influencing legislation, regulations, governmental processes, or communicating with the general public.

SCHEDULE C TOTAL \$ 0.00

SCHEDULES D-1 & D-2 - ASSESSMENTS, MEMBERSHIP FEES, OR DUES

Schedule D-1 - Specific Intent

PURPOSE: To report the amount of assessments, membership fees, or dues paid by the Represented Entity. If the assessments, membership fees, or dues were paid by the Represented Entity with the specific intent to influence legislation, regulations, governmental processes, or to communicate with the general public, please provide the information below:

PART I – For assessments, membership fees, or dues exceeding \$100 for the calendar year:

DATE	PAYEE	DESCRIPTION (A,M, or D)	AMOUNT
			\$ 0.00

Part I TOTAL \$ _____

PART II – For assessments, membership fees, or dues \$100 or less for the calendar year:

Part II TOTAL \$ _____

(Part I AND Part II) Schedule D-1 TOTAL \$ _____

Schedule D-2 - Major Purpose

PURPOSE: To report the pro rata amount of assessments, membership fees, or dues paid by the Represented Entity. If the assessments, membership fees, or dues were paid by the Represented Entity to an entity whose major purpose is to influence legislation, regulations, governmental processes, or to communicate with the general public, and, was not reported on Schedule D-1, "Specific Intent," please provide the information below:

PART I – For assessments, membership fees, or dues exceeding \$100 for the calendar year:

DATE	PAYEE	DESCRIPTION (A,M, or D)	AMOUNT
			\$ 0.00

Part I TOTAL \$ 0.00

PART II – For assessments, membership fees, or dues \$100 or less for the calendar year:

Part II TOTAL \$ 0.00

(Part I and Part II) Schedule D-2 TOTAL \$ 0.00

Schedule D-1 AND Schedule D-2 TOTAL \$ 0.00

SCHEDULE G-1**ITEMIZATION OF BENEFITS WHICH EXCEEDED \$25 PER DAY OR \$200 PER CALENDAR YEAR TO STATE OFFICIALS AND THEIR IMMEDIATE FAMILY MEMBERS**

PURPOSE: To report detailed information concerning benefits passed to State officials covered by the Act, as well as the immediate family members of these officials. If the value of a benefit exceeded \$25 per day or \$200 per calendar year, report below.

(Select one description item for each entry from the drop down list. When selecting "O - Other", enter a description in the space provided.)

Name of Benefit Recipient N/A

Date _____ Description _____ Amount \$ _____

Name and Address of Payee/Vendor

Name _____

Address _____

City _____ State _____ Zip Code _____

If benefit was reimbursed, please report the date, the description, and the amount of the reimbursement.

Date _____ Amount \$ _____

Description _____

Name of Benefit Recipient _____

Date _____ Description _____ Amount \$ _____

Name and Address of Payee/Vendor

Name _____

Address _____

City _____ State _____ Zip Code _____

If benefit was reimbursed, please report the date, the description, and the amount of the reimbursement.

Date _____ Amount \$ _____

Description _____

Name of Benefit Recipient _____

Date _____ Description _____ Amount \$ _____

Name and Address of Payee/Vendor

Name _____

Address _____

City _____ State _____ Zip Code _____

If benefit was reimbursed, please report the date, the description, and the amount of the reimbursement.

Date _____ Amount \$ _____

Description _____

Name of Benefit Recipient _____

Date _____ Description _____ Amount \$ _____

Name and Address of Payee/Vendor

Name _____

Address _____

City _____ State _____ Zip Code _____

If benefit was reimbursed, please report the date, the description, and the amount of the reimbursement.

Date _____ Amount \$ _____

Description _____

SUMMARY OF BENEFIT PASSING

PURPOSE: To report the total amount of providing benefits to State officials covered by the Act and their immediate family members.

	SCHEDULE G-1*		SCHEDULE G-2**		AMOUNT
Entertainment	\$ 0.00	+	\$ 0.00	=	\$ 0.00
Food and Beverage	0.00	+	0.00	=	0.00
Travel	0.00	+	0.00	=	0.00
Lodging	0.00	+	0.00	=	0.00
Honoraria	0.00	+	0.00	=	0.00
Loans	0.00	+	0.00	=	0.00
Gifts	0.00	+	0.00	=	0.00
Other(specify) _____	0.00	+	0.00	=	0.00
Total	\$ 0.00	+	\$ 0.00	=	\$ 0.00

**SCHEDULE G-1 AND
SCHEDULE G-2 TOTAL**

* After completing all entries on Schedule G-1, provide totals by category.

** Enter, by category, the value of benefit passing where the expenditure did NOT exceed the \$25/day or \$200/calendar year thresholds.

ENTER THE TOTAL AMOUNT OF REIMBURSED BENEFITS, IF ANY.

DO NOT DEDUCT THIS AMOUNT FROM BENEFIT PASSING AMOUNTS.

\$ _____ .00

SUMMARY OF LOBBYING EXPENDITURES

EXPENDITURES

1. Salary and Compensation (Add the total from questions 1 & 2)	Schedule B Total \$	50,664.48
2. Support Personnel	Schedule C Total	0.00
3. Assessments, Membership Fees, or Dues	Schedule D-1 and Schedule D-2 Total	0.00
4. Communication Expenses	Schedule E Total	0.00
5. Travel and Lodging	Schedule F Total	1,457.00
6. Benefit Passing	Schedule G-1 and Schedule G-2 Total	0.00
Total Lobbying Expenditures \$		52,121.48

RECEIPTS TABLES 1 AND 2

Receipts Table 1 - Specific Intent

PURPOSE: To report the amount of contributions, loans, membership fees, dues, or assessments received by the Represented Entity.

If the contributions, loans, membership fees, dues, or assessments were received by the Represented Entity with the specific intent to influence legislation, regulations, governmental processes, or to communicate with the general public, please provide the information below:

PART I - For contributions, loans, membership fees, dues, or assessments exceeding \$100 for the calendar year:

DATE	SOURCE	ADDRESS	AMOUNT
			\$ 0.00
			Part I Total \$ 0.00
			Part II Total \$ 0.00
			Receipts Table 1 Total (Part I and II) \$ 0.00

Receipts Table 2 - Major Purpose

PURPOSE: To report the pro rata amount of contributions, loans, membership fees, dues, or assessments received by the Represented Entity. **Note:** If a receipt was already reported on Receipts Table 1 as a "Specific Intent" receipt, DO NOT report again as a "Major Purpose" receipt. If the receipts were received by the Represented Entity whose major purpose is to influence legislation, regulations, governmental processes, or to communicate with the general public, please provide the information below:

Provide the percentage of activity which constituted lobbying (this figure must be more than 50%): _____ 0 %

For each receipt, multiply the percentage indicated by the amount of the receipt to arrive at a net receipt amount. Add together all net receipt amounts to arrive at the aggregate total.

Receipts Table 2 Total \$ 0.00

Review each net receipt amount. Any net receipt in excess of \$100 should be listed below:

DATE	SOURCE	ADDRESS	AMOUNT
			\$ 0.00
Table 1 and Table 2 Totals			Receipts Total \$ 0.00

CERTIFICATION

This certification shall be signed by a Governmental Affairs Agent employed by the Represented Entity or a responsible Financial or Governmental Affairs Officer of the Represented Entity.

1. Cathleen Paugh
(print name)

hereby certify that I am duly authorized by

The Prudential Insurance Company of America
(print name of Represented Entity)

to file and certify the accuracy and correctness of this Annual Report of Lobbying Activity for calendar year 2008
I certify that the statements made herein are true and accurate. I am aware that if any of the foregoing statements are willfully false, I may be subject to punishment.

Cathleen Paugh
Signature

2/16/10
Date